



Flight Operations Standards Directorate
Commercial Air Transport Section - AOC Initial Certification
Phase 1 - Prospective Operator's Pre-Assessment Statement Application Form

SECTION 1 - FOR AOC APPLICANT USE ONLY

Section 1 - A Organization Details

1 Company Details

a	Registered name	
b	Trading name if different	
c	Mailing address	
d	Telephone	
e	Fax	
f	E-mail	

2 Principal Place of Business

a	Mailing address	
b	Telephone	
c	Fax	
d	E-mail	

3 Type of Operations

4 Proposed Start Date

5 Requested Designator for Aircraft Operating Agency in Order of Preference

a	
b	
c	
d	
e	
f	
g	
h	
i	
j	

6 Nominated Management Details

	Title	Name	Phone No.	E-mail
a	Accountable manager			
b	Flight operations post holder			
c	Crew training post holder			
d	Ground operations post holder			
e	Maintenance system post holder			
f	Security manager			
g	Safety management system manager			
h	Quality manger			

7 AOC Certification Focal Point Contact Details

Name	Phone No.	E-Mail



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Section 1 - B Proposals for Maintenance

8	Maintenance Arrangements
a	<input type="checkbox"/> Air operator intends to arrange for maintenance and inspections of aircraft and associated equipment to be performed by others
b	<input type="checkbox"/> Air operator intends to perform its maintenance as an Approved Maintenance Organization (AMO). Shall apply for AMO rating to airworthiness standards directorate

Section 1 - C Operations Details

9	Air Operator Proposed Types of Operation
a	<input type="checkbox"/> Scheduled operations
b	<input type="checkbox"/> Non Scheduled operations
c	<input type="checkbox"/> Passengers
d	<input type="checkbox"/> Cargo
e	<input type="checkbox"/> Passengers & Cargo
f	<input type="checkbox"/> Passenger airplane
g	<input type="checkbox"/> Passenger airplane and cargo airplane

10	Aircraft Details. (provide a copy of the lease agreement for all leased aircraft)
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No	Aircraft Type	Model	Registration Mark	Build Date	Passengers Seats	Cargo Payload
a						
b						
c						
d						
e						
f						
g						
h						
i						
j						

11	Geographic Area(s). Intended Operations and Proposed Route Structure
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a	Geographic area	
b	<input type="checkbox"/> Proposed route(s)	• Attach a list if applicable

Section 1 - D Other Details

12	Additional Information. That provides a better understanding of the proposed operation
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a	
b	
c	

13	Proposed Training. Aircraft/flight simulation training device training arrangements
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a	
b	
c	



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Section 1 - E Applicant Signature for the Information Contained in This Form:

14	Intent to Apply For An
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a	<input type="checkbox"/> AOC
b	<input type="checkbox"/> AOC & AMO

Name	Phone No.	E-Mail



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SECTION 2 - FOR CARC USE ONLY

Section 2 - A General

15	Dates	
a	Date received by flight operations standards directorate	
b	Date sent to airworthiness standards directorate & economic regulatory directorate for assignment of focal points	
c	Preliminary assessment set date	

Section 2 - B Assessment Team

16	Responsible CARC Directorate	
a	Flight operations standards directorate focal point name	
b	Airworthiness standards directorate focal point name	
c	Economic regulatory directorate focal point name	

Section 2 - C Assessment Results

17	Assessment Events	SAT	UNSAT
a	Check the proposed company details are in accordance with JCAR Part 201		
b	Check the proposed principal place of business details are in accordance with JCAR OPS 1 and Part 201		
c	Check the proposed management details are in accordance with JCAR OPS 1		
d	Check the proposed maintenance arrangements details are in accordance with JCAR Part M		
e	Check the proposed type of operations details are in accordance with JCAR OPS 1 and Part 201		
f	Check the proposed airplane type details are in accordance with JCAR OPS 1, Part 201, 21 and 47		
g	Check the proposed area of operations details are in accordance with JCAR OPS 1		
h	Check the proposed aircraft/FSTD training arrangements details are in accordance with JCAR OPS 1		

Section 2 - D Unsatisfactory Results Details - If applicable

18	Unsatisfactory Events Reason(s)	
a		
b		
c		
d		
e		
f		
g		
h		

Section 2 - E Assessment Team Recommendation

19	<input type="checkbox"/> Satisfactory prospective operator's pre-assessment statement - Applicant is eligible to start AOC certification
20	<input type="checkbox"/> Unsatisfactory prospective operator's pre-assessment statement - Applicant request is rejected

Section 2 - F Assessment Team Signatory

Name	Signature	Date